BEST AVAILABLE COPY

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 7 February 2002 (07.02.2002)

PCT

(10) International Publication Number WO 02/09766 A1

(51) International Patent Classification7:

. . .

(21) International Application Number: PCT/KR01/01209

(22) International Filing Date:

13 July 2001 (13.07.2001)

(25) Filing Language:

English

A61K 47/48

(26) Publication Language:

English

(30) Priority Data: 2000/44046

29 July 2000 (29.07.2000) KR

(71) Applicants and

- (72) Inventors: PARK, Myung-Ok [KR/KR]; #107-1403 Hakyeoul Chongku Apt., Hakye 2-dong, Nowon-ku, Seoul 139-734 (KR). LEE, Kang-Choon [KR/KR]; 86-12, Nonhyun 2-dong, Kangnam-ku, Seoul 135-818 (KR).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): CHO, Sung-Hee [KR/KR]; 948, Shingil 7-dong, Yeongdeungpo-ku, Seoul 150-855 (KR).
- (74) Agent: LEE, Won-Hee; 8th Fl., Sung-ji Heights II, 642-16 Yoksam-dong, Kangnam-ku, Seoui 135-080 (KR).

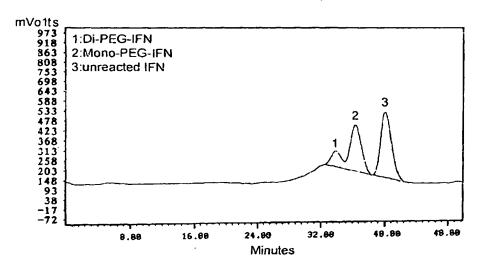
- (81) Designated States (national): A.F., A.G., A.L., A.M., A.T., A.U., A.Z., B.A., B.B., B.G., B.R., B.Y., B.Z., C.A., C.H., C.N., C.O., C.R., C.U., C.Z., D.E., D.K., D.M., D.Z., E.C., E.E., E.S., F.I., G.B., G.D., G.E., G.H., G.M., H.R., H.U., I.D., I.L., I.N., I.S., J.P., K.E., K.G., K.P., K.Z., L.C., L.K., L.R., L.S., L.T., L.U., L.V., M.A., M.D., M.G., M.K., M.N., M.W., M.X., M.Z., N.O., N.Z., P.L., P.T., R.O., R.U., S.D., S.E., S.G., S.I., S.K., S.L., T.J., T.M., T.R., T.T., T.Z., U.A., U.G., U.S., U.Z., V.N., Y.U., Z.A., Z.W.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- entirely in electronic form (except for this front page) and available upon request from the International Bureau

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: HIGHLY REACTIVE BRANCHED POLYMER AND PROTEINS OR PEPTIDES CONJUGATED WITH THE POLYMER



(57) Abstract: The present invention relates to new biocompatible polymer derivatives, and a protein-polymer or a peptide-polymer which is produced by conjugation of biologically active protein and peptide with the biocompatible polymer derivatives. More particularly, the present invention relates to a highly reactive branched biocompatible polymer derivative containing a long linker between polymer derivatives and protein or peptide molecules, which is minimized in decrease the biological activity of proteins by conjugating the less number of polymer derivatives to the active sites of proteins, improved in water solubility, and protected from being degraded by protease. In hence, the highly reactive branched biocompatible polymer-proteins or peptides conjugates with long linker retain the biological activity in a long period of time and improve a bioavailability of bioactive proteins and peptides.





A 77/00/20 O

PCT/KR01/01209 WO 02/09766

HIGHLY REACTIVE BRANCHED POLYMER AND PROTEINS OR PEPTIDES CONJUGATED WITH THE POLYMER

FIELD OF THE INVENTION

5

The present invention relates to new biocompatible polymer derivatives, and a protein-polymer or a peptidepolymer which is produced by conjugation of biologically active protein and peptide with the biocompatible polymer derivatives. More particularly, the present invention relates to a highly reactive branched biocompatible polymer 10 derivatives containing a long linker between polymer derivatives and protein or peptide molecules, which is minimized in decrease the biological activity of proteins by conjugating the less number of polymer derivatives to the active sites of proteins, improved in water solubility, 15 and protected from being degraded by protease. In hence, the highly reactive branched biocompatible polymer-proteins peptides conjugates with long linker retain the biological activity in a long period of time and improve a bioavailability of bioactive proteins and peptides. 20

BACKGROUND ART OF THE INVENTION

In general, various proteins and peptides such as hormones and cytokines play important roles in the body.

With a recent great advance in genetic engineering, various proteins have been manufactured in a mass scale and used as therapeutic drugs.

Use of these proteins and peptides as medicines, 5 however, suffers from many problems. First, peptides or proteins are very low in body absorption efficiency because they are easily hydrolyzed or degraded by enzymes within a short period of time after being taken into the body. Further, when such proteins and peptides drugs 10 repetitively administered, immune reactions are frequently induced to produce antibodies which may cause very serious hypersensitivity as to menace the life of the patients, acting as a neutralizing role against the physiological activity of drugs. In addition, the clearance attributable 15 to the reticuloendothelial system (RES) is increased. Therefore, most protein and peptide drugs have administered by injection, thus far. The administration by injection, however, gives the patients pain accompanied dangers. Particularly, patients who need to be treated for 20 a long period of time may not be able to treat themselves by injection. Thus, there remains a need to develop more stable therapeutic protein or peptides drugs.

Conjugation of pharmaceutically active proteins or peptides to synthetic macromolecules may afford great

advantages when they are applied in vivo and in vitro. When being covalently bonded to macromolecules, physiologically active molecules may be changed in surface properties and solubility. Further, the presence of macromolecules may make the conjugated proteins and peptides more stable in vivo as well as reduce the clearance attributed to the intestinal system, the kidney, the spleen, and/or the liver. Hence, conjunction of polymers to proteins or peptides can bring about a great improvement in the stability of proteins and peptides in solutions and effectively protect the intrinsic surface properties of peptides to prevent non-specific protein adsorption.

10

15

20

U. S. Patent No. 4,179,337 discloses conjugates between peptides or polypeptides and polyethylene glycol (hereinafter, referred to as "PEG") with a molecular weight of 500~20,000 or water-soluble polymers, which are reduced in antigenicity and immunogenicity while maintaining the biological activity of the proteins and polypeptides. It is described in U. S. Patent No. 4,301,144 that hemoglobin is increased in oxygen molecule-carrying potential when being associated with PEG or water-soluble polymers.

Various proteins are reported to show extended halflife spans and reduced immunogenicity in plasma when being conjugated with PEG (Abuchowski et al., Cancer Biochem.

Biophys., 7, 175-186, 1984). Uricase-PEG conjugates are demonstrated to be increased in vivo half-life span and show the reduced side-effect during the metabolism of uric acid (Davis et al., Lancet, 2, 281-283, 1981).

5 As apparent the preceding patents from the conjugation of PEG allows biologically active proteins and peptides to increase in vivo half-life span and solubility and to reduce the immune reactions.

10

20

The conjugation of PEG to proteins or peptides is achieved by reacting activated PEG to amino residues of proteins or peptides, lysine residues and N-termini. As for PEG activation, one of the hydroxyl groups of PEG is substituted with a methyl ether group while the other hydroxy group is bonded to an electrophilic functional group (Abuchowski, A. and Davis, F. F. (1981), in Enzymes 15 as Drugs (Holsenberg, J. and Roberts, J., eds.)). Examples of activated polymers include PEG-N-hydroxysuccinimide active esters, which contain amide bonds, PEG-epoxides and PEG-tresylate, which contain alkyl bonds, PEG-carbonyl imidazole and PEG-nitrophenyl carbonates, which contain urethane bonds, and PEG-aldehyde, which contains a Schiff's base at the N-terminus.

a polypeptide sequence, lysine residues randomly located, so that PEG is non-specifically bonded to

the proteins or polypeptides. In order to obtain uniformed PEG-peptide conjugates, there have been made attempts of bonding PEG to targeted sites such as cystein residues, oligo sugars, hydroxyl groups, and arginine groups.

5

10

15

20

being able to derivatives PEG Examples of cystein groups of polypeptides specifically react to include PEG-vinyl sulfone, PEG-iodoacetamide, PEG-maleimide, and PEG-orthopyridyl disulfide. PEG-vinyl sulfone is the best from the view of the stability in water solutions while PEG-orthopyridyl disulfide can be reversibly degraded vivo because of the presence of disulfide bonds. Peptides taking advantage of these derivatives can be exemplified by Interleukin-3 and Interleukin-2.

PEG derivatives reacted specifically to oligo sugars of polypeptides may be exemplified by PEG-hydrazides, which is able to react with aldehyde containing compounds to form relatively stable hydrazone bonds. Advantage is taken of the specific bonding of PEG-hydrazides to sugar moieties or glycoproteins.

pEG-isocyanates react specifically with hydroxy groups of polypeptides. In order to conjugate PEG to arginine residues of polypeptides, PEG derivatives containing phenylglyoxal which is highly reactive to the guanidino group have been used.

General structure of polyethylene is a linear having molecular weight of between 1,000 Da and 25,000 Da. However, there is a barrier to conjugate a number of linear polymers to proteins or peptides with retaining the biological activity because the active sites in proteins or peptides Particularly, polymer conjugation to low limited. molecular weight of proteins or peptides results in a significant decrease of biological activity by steric hindrance because a number of active sites are relatively low. Thus, there have been many attempts to conjugate large polymers to proteins or peptide with retaining biological activity. First, the conjugation of linear polymers with a molecular weight of 20,000 and higher has been attempted and resulted in the extended the circulating half-life compared to polymers with a molecular weight of less than 20,000 Da. However, the yield of this conjugation was found to be very low and considered not to be economic.

5

10

15

To overcome the problem of linear polymer conjugating proteins or peptides as mentioned above, the use of branched PEG for the conjugation has been attempted by Wana (Wana, H et al., 'Antitumor enzymes: polyethylene glycolmodified asparaginase', Ann. N. Y. Acad. Sci. 613, 95-108, 1990). It was reported that the proteins or peptides were conjugated to the branched mPEG derivatives by

trichlorotriazine. However, mPEG-disubstituted chlorotriazine and the process of preparation thereof, are still present severe limitations because coupling to protein is highly nonselective. Several types of amino acids other than lysine are attached and many proteins are inactivated.

Yamasaki (Yamasaki, N. et al., Agric. Biol. Chem., 52, 2125-2127, 1988) has inserted norleucine in the process to synthesize the branched mPEG in order to analyze easily. This method provides the advantage to calculate the ratio between polymers and protein molecules by determining the number of norleucine in amino acid analysis.

()

10

15

Also, U.S Patent No. 5,932,462 and No. 5,643,575 disclosed a branched or multi-armed aliphatic polymer derivative that is monofuntional, hydrolytically stable. However, these branched polymers with short length of linker between polymer and protein cause the steric hindrance and in hence reduce the reactivity and yield of product.

To overcome the foregoing problems, we, the inventors of the present invention, have developed branched polymer with long length of linker to conjugate to proteins. The present invention has confirmed that the steric hindrance has been decreased and the reduction of biological activity

has been minimized by being protected from degradation by proteases.

SUMMARY OF THE INVENTION

10

An object of the present invention is to provide a branched biocompatible polymer with long length of linker to conjugate with protein or peptide.

Another object of the invention is also to provide the stable and water soluble protein-polymer or peptide-polymer conjugates that reduce the steric hindrance in active sites of proteins and retain the biological activity.

BRIEF DESCRIPTION OF THE DRAWING

- Fig. 1 shows a size exclusion chromatography (SEC) of

 intact interferon (IFN), which is not conjugated

 with polymer derivatives.
 - Fig. 2 represents a graph of SEC which IFN reacted with activated Di-PEG5000;
 - where 1: PEG₂-IFN, 2: PEG₁-IFN, 3: unreacted IFN.
- 20 Fig. 3 shows a graph of SEC of IFN reacted with activated Di-PEG20000;
 - where 1: PEG₁-IFN, 2: unreacted IFN.
 - Fig 4 represents a graph of SEC which IFN reacted with activated Tri-PEG5000;

where 1: PEG₂-IFN, 2: PEG₁-IFN, 3: unreacted IFN.

Fig. 5 represents a graph of SEC which IFN reacted with activated Tri-PEG20000;

where 1: PEG₂-IFN, 2: PEG₁-IFN, 3: unreacted IFN.

5

DETAILED DESCRIPTION OF THE INVENTION

In order to accomplish the aforementioned goal, the present invention provides a branched biocompatible polymer with long length of linker to conjugate with protein or peptide.

Further, the present invention also provides the stable and water soluble protein-polymer or peptide-polymer conjugates that reduce the steric hindrance in active sites of proteins and retain the biological activity.

15

10

Further features of the present invention will appear hereinafter.

The branched biocompatible polymer according to the 20 present invention is represented by the following formula 1:

FORMULA 1

 $(P-OCH_2CO-NH-CHR-CO-)_n-L-Q_k-A$ Wherein,

P and Q is the same or different biocompatible polymer,

R is H or alkyl,

5

L is aliphatic linking mojety covalently linked to each P and Q,

A is activating functional group,

n is an integer between 2 and 3,

k is an integer between 0 and 1.

10 The biocompatible polymer derivatives in the present invention are the activated branched polymers prepared by bonding one or more biocompatible polymers. In this regard, the bond between the polymers and protein or peptide may be a covalent bond or a non-covalent bond such as a lipophilic 15 bond or a hydrophobic bond. In preparing highly reactive branched polymers, the biocompatible polymer has been activated and reacted to each other to provide a branched polymer derivatives (Di-polymer derivatives). A branched biocompatible polymer derivatives (Tri-polymer derivatives) 20 containing long length of linker at branched point to conjugate with protein and peptide can be provided as a preferred example of the present invention.

The term "biocompatible polymers" as used herein

means naturally occurring or synthetic compounds which are dissolved in water. By way of example, not limitation, the biocompatible polymers(represented by P and Q) polyethylene glycol (PEG), polypropylene glycol polyoxyethylene (POE), polytrimethylene glycol, polylactic acid and its derivatives, polyacrylic acid and their alcohol, polyvinyl acid, polyamino derivatives, polyurethane, polyphosphazene, poly(L-lysine), polyalkylene polymers soluble water (PAO), and oxide polysaccharide, dextran, and non immunogenic polymers such as polyvinyl alcohol and polyacryl amide.

10

20

Available in the present invention are the polymers used to synthesize the branched polymer derivatives ranging in molecular weight from about 200 to 100,000 and preferably from 1,000 to 40,000.

The liker of branched polymer derivatives to conjugate with protein or peptide in the present invention is a long length of activated biocompatible polymers and the polymers ranging in the molecular weight preferably from 2,000 to 20,000 are available.

A method of branched polymer in the present invention can be proceeded to activate polymers by inserting a linker(represented by L) containing aliphatic amino acid linking moiety into functional group having reactivity. The

functional groups (represented by A) of the present polymer derivatives can be N-hydroxysuccinimide ester (hereinafter, referred to as "NHS"), hydrazine hydrate (hereinafter referred to as "NH2NH2"), carbonyl imidazole, nitrophenyl, isocyanate, sulfonyl chloride, aldehyde, glyoxal, epoxide, carbonate, cyanuric halide, dithiocarbonate, tosylate, and maleimide and preferably NHS or NH2NH2.

A method of polymer activation comprises the 10 following steps of:

- (a) preparing the polymer into polyalkylene
 oxide (hereinafter, referred to as "PAO") such as
 monomethoxy-poly(ethylene glycol) (hereinafter
 referred to as "mPEG"); and,
- 15 (b) changing the other part of PAO into a reaction group having reactivity.

Particularly a method for activating the biocompatible polymer by NHS is shown the following Scheme 20 1 and Scheme 2.

Scheme 1 illustrates the procedure for preparation of activated Di-polymer derivatives, represented by the following formula 2, containing activated branched polymer.

FORMULA 2

mPEG—OCH₂CONHCH₂CONHCHCOONHS

(CH₂)₄

mPEG—OCH₂CONHCH₂CONH

SCHEME 1

5

mPEG—OCH₂COOH — MC mPEG—OCH₂COONHS

Scheme 2 shows the method for preparation of activated Tri-polymer derivative, represented by the following formula 3, that was prepared by reacting an

activated Di-polymer derivative with activated polymer containing a long length of linker to conjugate to proteins or peptides.

FORMULA 3

mPEG—OCH₂CONHCH₂CONHCHCONHPEGCOONHS
(CH₂)₄
mPEG—OCH₂CONHCH₂CONH

SCHEME 2

5

mPEG—OCH₂CONHCH₂CONHCHCOONHS

(CH₂)₄

mPEG—OCH₂CONHCH₂CONH

MC

mPEG—OCH₂CONHCH₂CONHCHCONHPEGCOOH

(CH₂)₄

mPEG—OCH₂CONHCH₂CONH

 $\label{eq:mpeg} \begin{array}{c} \text{mPEG---OCH}_2\text{CONHCH}_2\text{CONHCHCONHPEGCOONHS} \\ \\ (\text{CH}_2)_4 \\ \\ \text{mPEG---OCH}_2\text{CONHCH}_2\text{CONH} \end{array}$

As reacting groups of activated branched polymer derivatives for conjugating to proteins or peptides, $\mathrm{NH_2NH_2}$, carbonyl imidazol, nitrophenyl, isocyanate, sulfonyl

chloride, aldehyde, glyoxal, epoxide, carbonate, cyanuric halide, dithiocarbonate, tosylate and maleimide can be used as well as NHS, where the use of $\mathrm{NH_2NH_2}$ was shown in Scheme 3.

5 SCHEME 3

15

20

The present invention also provides protein-polymer or peptide-polymer conjugates with activated branched polymer derivatives synthesized in this invention. .

As described above, the present invention provides highly reactive protein or peptide-polymer conjugates prepared by reacting activated branched polymer with biologically active protein or peptide. In this regard, the bond between the protein or peptide and the polymer derivatives may be a covalent bond or a non covalent bond such as a lipophilic bond or a hydrophobic bond.

The activated branched polymer forms the protein or peptide polymer conjugates by reacting with ϵ -amine group of lysine. Besides the amine group of lysine, carboxyl group, activated carbonyl group, oxidized sugar and

mercapto group in the protein can be used as a conjugated moiety to the activated branched polymer.

The conjugation of biologically active protein or peptide with one or more activated branched polymers can be prepared by chemical reaction and the temperature of conjugation reaction is in the range of 0 to 40 °C and preferably in the range of 4 to 30 °C. In the range of 4 to 9 for the reaction pH and 5 minutes to 10 hours for the reaction time are preferable in this preparation. Also the molar ratio of protein or peptide polymer conjugates is in the range of 1:1 to 1:100 and preferably in the range of about 1:1 to 1:20.

5

10

The protein or peptide of the present invention is 15 not limited to the specific therapeutic agents but applied the all substances having biological activity, particularly, it is desirable to use alpha -, beta-, gammainterferon (hereinafter referred to as IFN), asparaginase, deiminase, adenosine deaminase, arginase, arginine 20 superoxide dismutase, endotoxinase, catalase, chymotrypsin, uricase, adenosine diphosphatase, tyrosinase, qlucose oxidase, glucosidase, galactosidase, glucouronidase, hemoglobin, blood factors (VII, VIII and IX), immunoglobulins, cytokines such as interleukins, G-CSF, GM-

CSF, PDGF, lectins, ricins, TNF, TGFs, epidermal growth factor (hereinafter referred to as EGF), human growth hormone (hereinafter referred to as hGH), calcitonin, PTH, insulin, enkephalin, GHRP, LHRH and derivatives, calcitonin gene related peptide, thyroid stimulating hormone and thymic humoral factor.

5

10

15

20

The activated branched polymer derivatives in the present invention show the high reactivity to conjugate with proteins or peptides. Particularly the reactivity of activated biocompatible polymer to proteins or peptides in the case of Tri-polymer derivatives was confirmed to be very high compared to Di-polymer derivatives (refer to Fig. 2-5 and Table). Therefore, it was found that the long length of linker of Tri-polymer enhanced the reactivity with proteins or peptides as described above.

The purification of protein or peptide-polymer conjugates is performed in buffer solution in the pH range of 7 to 9 and preferably 7.5 to 8.5. The buffer solutions used in the purification step can be KCl, NaCl, Tris-HCl, K₂HPO₄, KH₂PO₄, Na₂HPO₄, NaH₂PO₄, NaHCO₃, NaBO₄, (NH₄)₂CO₃, glycine-NaOH and preferably Tris-HCl and phosphate buffer solutions. In addition, ion exchange resins used in the

present invention can be Q-HD (Biosepra, USA), QA-Trisacryl and QMA-Spherosil (Sepracore, USA), TMAE650M (EM separation, USA), Mono-Q and Q-Sepharose (Pharmacia, Sweden).

Practical and presently preferred embodiments of the present invention are illustrative as shown in the following Examples. However, it will be appreciated that those skills in the art, on consideration of this disclosure, would make modifications and improvements within the spirit and scope of the present invention.

1. Preparation of activated PEG derivatives <Example 1> Preparation of activated mPEG-OCH2CONHCH2COONHS (5000)

15 <1-1> Preparation of mPEG-OCH₂COOH (5000)

20

Mono methoxy-poly(ethylene glycol) was prepared from PEG (MW 5000) so that one hydoxyl group of PEG was protected. 10 g of mPEG-OH(5000) (2 mmole) was dissolved in THF under nitrogen gas, added to sodium and naphthalene solution, and stirred for 3 hours at room temperature. 1 g of bromoethylacetate (6 mmole) was added drop wise at room temperature with stirring. After 15 hours, the product was precipitated in ether on ice bath. The crude solid was filtered, washed with ether, collected and dried under

vacuum. 15.5 g of crude solid was obtained.

5

10

•)

20

The crude solid prepared as described above was dissolved in d-H₂O and the pH was adjusted to 11 with 1 N NaOH. After stirring for 24 hours, it was cooled to room temperature and the pH was adjusted to 3 with 1 N HCl prior to dryness. The solid was then dissolved in methylene chloride (hereinafter, referred to as "MC"), left at room temperature for 1 hour, and filtered using the celite prior to dryness. The crude solid was recrystallized in isopropyl alcohol (hereinafter, referred to as "IPA") on ice bath. The pale brown solid was then obtained, filtered, and rinsed with ether prior to dryness under vacuum. The yield was calculated to be 100 % (10.3 g).

15 <1-2> Preparation of mPEG-OCH₂COONHS (5000)

3 g of mPEG-OCH₂COOH (5000) (0.6 mmole) prepared in the Example <1-1> was dissolved in MC and added to 0.2 g of NHS (1.8 mmole) and o.3 g of N,N'-dicyclohexyl carbodiimide (1.8 mmole) (hereinafter, referred to as "DCC") with stirring. The reaction was carried out at 30 °C for 18 hours with stirring and cooled to room temperature followed by filtration using celite and charcoal consequently prior to dryness. The solid product was crystallized in IPA on ice bath, filtered, rinsed with ether, and dried under

vacuum. 2.81 g of mPEG-OCH₂COONHS was obtained (yield: 91 %).

<1-3> Preparation of mPEG-OCH₂CONHCH₂COOH (5000)

To 0.06 g of glycine (0.8 mmole) in 0.1 M borate buffer solution, pH 8.5, was added 0.5 g of mPEG-OCH₂COONHS (5000) (0.1 mmole) drop by drop. After reacting for 36 hours at room temperature, d-H₂O was added and pH was adjusted to 3 by adding oxalic acid. The reaction mixture was extracted in MC three times and the separated layer was dried after addition of Na₂SO₄. The solid product was crystallized in IPA, washed with ether after filtration, and dried under vacuum. 0.5 g of mPEG-OCH₂CONHCH₂COOH (5000) was obtained. The yield was 98 %.

15

20

5

10

<1-4> Preparation of mPEG-OCH₂CONHCH₂COONHS (5000)

0.5 g of mPEG-OCH₂COOH (5000) (0.1 mmole) prepared in the Example <1-3> was dissolved in MC and added to 0.034 g of NHS (0.3 mmole) and 0.062 g of DCC (0.3 mmole) with stirring. The reaction was carried out at 30 °C for 24 hours with stirring and cooled to room temperature followed by filtration using celite and charcoal consequently prior to dryness. The solid product was crystallized in IPA on ice bath, filtered, rinsed with ether, and dried under

vacuum. 0.43 g of mPEG-OCH $_2$ CONHCH $_2$ COONHS (5000) was obtained (yield: 83 %).

<Example 2> Preparation of activated mPEG-OCH2CONHCH2COONHS (20000)

<2-1> Preparation of mPEG-OCH₂COOH (20000)

5

15

5 g of mPEG-OH(20000) (0.25 mmole) was prepared as the same method described in <Example 1-1> and 5 g of solid product, mPEG-OCH₂COOH (20000), was obtained. The yield was calculated to be 100 %.

<2-2> Preparation of mPEG-OCH₂COONHS (20000)

3 g of mPEG-OCH₂COOH (20000) (0.15 mmole) was prepared as the same method described in <Example 1-2> and 2.2 g of solid product, mPEG-OCH₂COONHS (20000), was obtained. The yield was calculated to be 73 %.

<2-3> Preparation of mPEG-OCH₂CONHCH₂COOH (20000)

0.5 g of mPEG-OCH₂COONHS (20000) (0.025 mmole) was prepared as the same method described in <Example 1-3> and 0.5 g of solid product, mPEG-OCH₂CONHCH₂COOH (20000), was obtained. The yield was calculated to be 100 %.

<2-4> Preparation of mPEG-OCH2CONHCH2COONHS (20000)

0.5 g of mPEG-OCH₂CONHCH₂COOH(20000) (0.025 mmole) was prepared as the same method described in <Example 1-4> and 0.45 g of solid product, mPEG-OCH₂CONHCH₂COONHS (20000), was obtained. The yield was calculated to be 90 %.

5

15

20

2. Preparation of activated branched Di-PEG and Tri-PEG derivatives

<Example 3> Preparation of activated branched Di-PEG10 NHS(5000)

<3-1> Preparation of Di-PEG-COOH (5000)

0.4 g of mPEG-OCH₂CONHCH₂COONHS (5000) (0.076 mmole) was added to 0.08 g of lysine-HCl (0.042 mmole) in 0.1 M borate buffer solution, pH 8.5. After completion of reaction for 48 hours at room temperature, d-H₂O was added and the pH of the solution was adjusted to 3 with oxalic acid. The reaction mixture was extracted in MC three times and the separated layer was dried after adding Na₂SO₄. The solid product was crystallized in IPA, washed with ether after filtration, and dried under vacuum. 0.33 g (yield of 84 %) of white solid product, Di-PEG-COOH(5000) was obtained. The resulting solid product has the formula as illustrated in formula 4.

FORMULA 4

mPEG——OCH₂CONHCH₂CONHCHCOOH
(CH₂)₄
mPEG——OCH₂CONHCH₂CONH

<3-2> Preparation of Di-PEG-NHS(5000)

0.3 g of Di-PEG-COOH(5000) (0.029 mmole) prepared in the example 3-1, was dissolved in MC and added to 0.01 g of NHS (0.087 mmole) and 0.018 g of DCC (0.087 mmole) with stirring. Di-PEG-NHS(5000) was then prepared as the same method described in example 1-4 and 0.25 g of solid product (yield of 82 %), Di-PEG-NHS(5000), was obtained. The resulting solid product has the formula as illustrated in formula 2.

FORMULA 2

mPEG—OCH₂CONHCH₂CONHCHCOONHS
(CH₂)₄
mPEG—OCH₂CONHCH₂CONH

15

5

10

<Example 4> Preparation of activated branched Di-PEGNHS(20000)

<4-1> Preparation of Di-PEG-COOH(20000)

0.4 g of mPEG-OCH₂CONHCH₂COONHS (20000) (0.02 mmole)

was used to obtain 0.35 g of white solid product, Di-PEG-COOH(20000) (yield of 87 %) by following the same procedure as described in <Example3-1>. The resulting product has a formula as illustrated in Formula 1 except that the molecular weight of PEG in this formula is 20,000.

<4-2> Preparation of Di-PEG-NHS(20000)

5

10

20

0.3 g of Di-PEG-COOH(20000) (0.025 mmole) was used to obtain 0.25 g of white solid product, Di-PEG-COOH(20000) (yield of 83 %) by following the same procedure as described in <Example 3-2>. The resulting product has a formula as illustrated in Formula 2 except that the molecular weight of PEG in this formula is 20,000.

0.1 g of Di-PEG-COONHS(5000) (0.0096 mmole) prepared in the $\langle \text{Example } 3 \rangle$ was dissolved in MC and was added NH₂PEG-COOH(2000) (0.038 g, 0.0192 mmole) at room temperature with stirring. After completion of reaction for 48 hours at 40 °C, the reaction mixture was filtered using celite and evaporated to dryness. The solid product was crystallized in IPA, washed with ether after filtration,

and dried under vacuum. 0.12 g (yield of 92 %) of white solid product, Tri-PEG-COOH(5000) was obtained. The resulting solid product has the formula as illustrated in formula 5.

5 FORMULA 5 ·

mPEG—OCH $_2$ CONHCH $_2$ CONHCHCONHPEG(2000)COOH (CH $_2$) $_4$ mPEG—OCH $_2$ CONHCH $_2$ CONH

<4-2> Preparation of Tri-PEG-NHS (5000)

0.1 g of Tri-PEG-COOH(5000) (0.007 mmole) prepared in

(Example 4-1> was reacted with 0.0024 g of NHS (0.021 mmole) and 0.0043 g of DCC (0.021 mmole) in MC as the same method described in <Example 3-2>, and 0.1 g of solid product (yield of 99 %), Tri-PEG-NHS(5000), was then obtained. The resulting solid product has the formula as illustrated in formula 6.

FORMULA 6

mPEG—OCH $_2$ CONHCH $_2$ CONHCHCONHPEG(2000)COONHS (CH $_2$) $_4$ (CH $_2$) $_4$ mPEG—OCH $_2$ CONHCH $_2$ CONH

<Example 6> Preparation of activated branched polymer

derivatives with long length of linker, Tri-PEG-NHS (20000) <6-1> Preparation of Tri-PEG-COOH (20000)

0.1 g of Di-PEG-COONHS(20000) (0.00247 mmole) prepared in <Example 4> was reacted as the same method described in <Example 5-1>, and 0.107 g of solid product with a yield of 98 % was obtained. The resulting product, Tri-PEG-COOH, has a formula as illustrated in formula 5 except that the molecular weight of PEG in this formula is 20,000.

10

15

<6-2> Preparation of Tri-PEG-NHS (20000)

0.08 g of Tri-PEG-COOH(20000) (0.0018 mmole) prepared in <Example 6-1> was reacted as the same method described in <Example 5-2> and 0.08 g of solid product (yield of 99 %), Tri-PEG-NHS(20000), was then obtained. The resulting solid product has the formula as illustrated in formula 6 except that the molecular weight of PEG in this formula is 20,000.

20 <Example 7> Preparation of Tri-PEG-NHNH2 (5000)

The product illustrated in Formula 7 was prepared as following procedures in <Example 6-1> and <Example 6-2>.

FORMULA 7

5

10

<7-1> Preparation of PEG derivative, (Tri-PEG-COC1)

1 g of Tri-PEG-COOH (5000) (0.083 mmole) prepared in <Example 5-1> was reacted with 0.05 g of SOCl₂ (0.4 mmole) in MC, the reaction mixture was refluxed for 3 hours with heating, and cooled to room temperature prior to evaporation. 1 g of brown oil (yield: 98 %) was obtained, which need to be used immediately due to instability.

FORMULA 8

mPEG---OCH2CONHCH2CONHCHCONHPEG(2000)COCI

(CH₂)

mPEG-OCH2CONHCH2CONH

<7-2> Preparation of PEG derivative, (Tri-PEG-CONHNH₂)

15 FORMULA 9

mPEG-OCH2CONHCH2CONHCHCONHPEGQ000)CONHNH2

CH₂)₄

mPEG---OCH2CONHCH2CONH

1.1 mmole of Tri-PEG-COC1 (5000) prepared in the step

1 in MC, was reacted with NH_2NH_2 and 10 ml of d- H_2O for 3 hours at room temperature with stirring and the reaction mixture was purified on silica column before evaporation and dried under vacuum. As a result, 1 mmole of yellowish oil (yield of 92 %) was obtained in the formula of Formula 9.

<Example 8> Preparation of Tri-PEG-NHNH2 (20000)

<8-1> Preparation of PEG derivative in Formula 8

Tri-PEG-COCl (20000) can be prepared from Tri-PEG-COOH (20000) as described in <Example 7-1>. The structure of the resulting product is illustrated in formula 9, except that the molecular weight of PEG in this formula is 20,000.

15

20

5

<8-2> Preparation of PEG derivative in Formula 7

1.1 mmole of Tri-PEG-COC1 (20000) prepared in <Example 8-1> was reacted as the same method described in <Example 7-2> to obtain the solid product which has the formula as illustrated in Formula 9 except that the molecular weight of PEG in this formula is 20,000.

3. Preparation of activated PEG-Interferon conjugates

PCT/KR01/01209 WO 02/09766

<Example 9> Preparation of Di-PEG(5000)-IFN

3 mg of succinic N-hydroxysuccinimidyl (hereinafter referred to as "SS") Di-PEG (5000) was added to 3 mg of IFN in 0.1 M phosphate buffer solution, pH 7.0 and stirred for 30 minutes at ambient temperature. The reaction was stopped with 0.1 M glycine and the excess reagents were removed by using centricon-30 (Amicon, USA).

<Example 10> Preparation of Di-PEG(20000)-IFN

12 mg of SS-Di-PEG (20000) was added to 3 mg of IFN in 0.1 M phosphate buffer solution, pH 7.0 and stirred for 30 minutes at ambient temperature. The reaction was stopped with 0.1 M glycine and the excess reagents were removed by using centricon-50 (Amicon, USA).

15

10

<Example 11> Preparation of Tri-PEG(5000)-IFN

Tri-PEG(5000)-IFN was prepared as indicated in <Example 9>. Tri-PEG(5000)-NHS prepared as described in
Example 5 was used.

20

<Example 12> Preparation of Tri-PEG(20000)-IFN

Tri-PEG(20000)-IFN was prepared as indicated in <Example 9>. Tri-PEG(20000)-NHS prepared as described in Example 6 was used.

<Example 13> Preparation of Tri-PEG(5000)NHNH2-IFN

10 mg of 1-ethyl 3-(3-dimethylaminopropyl)-carbodiimide hydrochloride (hereinafter referred to as "EDC") was added to 3 mg of IFN in 0.1 M phosphate buffer solution, pH 6.0. 3 mg of Tri-PEG(5000)-NHNH2 prepared in <Example 7> was then reacted with the above reaction mixture for 2 to 24 hours at 4 °C. The excess reagent and unreacted IFN were removed by using centricon-30 (Amicon, USA).

10

15

5

<Example 14> Preparation of Tri-PEG(20000)NHNH2-IFN

10 mg of EDC was added to 3 mg of IFN in 0.1 M phosphate buffer solution, pH 6.0. 12 mg of Tri-PEG(20000)-NHNH₂ prepared in <Example 8> was then reacted with the above reaction mixture for 2 to 24 hours at 4 °C. The excess reagent and unreacted IFN were removed by using centricon-50 (Amicon, USA).

<Example 15> Preparation of Tri-PEG(5000)-EGF

5 mg of SS-Tri-PEG (5000) prepared in <Example 5> was added to 5 mg of EGF in 0.1 M phosphate buffer solution, pH 7.0 and stirred for 30 minutes at ambient temperature. The reaction was stopped with 0.1 M glycine and the excess reagents were removed by using centricon-30 (Amicon, USA).

The separation of desired product was performed as indicated in the <Example 19>.

<Example 16> Preparation of Tri-PEG(20000)-EGF

5

15

20

All procedures were followed as indicated in <Example 15> except that 20 mg of Tri-PEG(20000) was used instead of Tri-PEG(5000). The separation of desired product was performed as indicated in the <Example 19>.

10 <Example 17> Preparation of Tri-PEG(5000)-hGH

8 mg of SS-Tri-PEG (5000) prepared in <Example 5> was added to 5 mg of hGH in 0.1 M phosphate buffer solution, pH 7.0 and stirred for 30 minutes at ambient temperature. The reaction was stopped with 0.1 M glycine and the excess reagents were removed by using centricon-30 (Amicon, USA). The separation of desired product was performed as indicated in the <Example 19>.

<Example 18> Preparation of Tri-PEG(20000)-hGH

All procedures were followed as indicated in <Example 17> except that 25 mg of Tri-PEG(20000) was used instead of Tri-PEG(5000). The separation of desired product was performed as indicated in the <Example 19>.

<Example 19> Separation of PEG1(5000 or 20000)-IFN

5

10

PEG(5000)-IFN and PEG(20000)-IFN prepared in <Example 9> and <Example 12> were dialyzed to 10 mM Tris buffer solution, pH 8.0. by using centricon-30 or centricon-50, respectively. The PEG₁-IFN that only one PEG attached to one IFN molecule was separated onto anion exchange column using Mono-Q resin. The concentration of NaCl from 0 to 300 mM was used for the linear gradient. The PEG₁-IFN separated above was identified by MALDI-TOF mass spectrometer of size exclusion HPLC.

<Experimental example 1> Comparison of reactivity of activated PEG derivatives

To investigation of reactivity of activated PEG derivatives prepared in <Example 1-8> with proteins or peptides, those derivatives were conjugated with PEG as indicated in <Example 9-14>. After separation of PEG₁-IFN as described in <Example 19> and calculation of the amount of PEG₁-IFN by area of peak in HPLC chromatograms (refer to Fig 2-5), the reactivity of each activated PEG derivatives was compared in Table.

In parallel, the reactivity of commercially available branched PEG with a molecular weight of 40,000 was also compared when the reaction was performed under same

PCT/KR01/01209 WO 02/09766

condition.

Table 1. Reactivity of activated PEG derivatives

Table 1. Reactivity of activated PEG derivatives		PEG ₁ -INF produced (%)	Unreacted INF (%)
DEC		45	35
<example 1=""></example>	mPEG- OCH ₂ CONHCH ₂ COONHS (5000)	22	57
<example 2=""></example>	mPEG- OCH ₂ CONHCH ₂ COONHS (20000)	23	65
<example 3=""></example>	Di-PEG-NHS (5000)	18	80
<example 4=""></example>		45	51
<example 5=""></example>	Tri-PEG-NHS (5000) Tri-PEG-NHS (20000)	43	30
<example 6=""></example>	TO NUMBER 150001	35	40
<example 7=""></example>		30	63
<example 8=""> Shearwater</example>	1111 1100 1111 1111	23	

As a result, Both Di-PEG derivatives and Tri-PEG derivatives showed the reactivity to IFN and particularly Tri-PEG derivatives of the present invention was found to be highly reactive to conjugate with IFN.

INDUSTRIAL APPLICABILITY

10

The above-mentioned, the biocompatible polymer derivative and protein-polymer or peptide-polymer of he present invention, which are produced by conjugation of biologically active protein and peptide with biocompatible polymer derivatives, are prepared, such that they shows 15 high yield while maintains a biological activity, minimizes activity-decreasing of drug, and increases stability with

inhibiting of decomposition from internal enzyme. Therefore, the highly reactive branched biocompatible polymer-proteins or peptides conjugates according to the present invention, may be effectively used for decreasing of side effects in accordance with over drug abuse, with minimizing the number of administration.

PCT/KR01/01209 WO 02/09766

WHAT IS CLAIMED IS;

5

15

20

- 1. Activated branched biocompatible polymer derivatives comprising a long length of polymer linker with functional group to conjugate with biologically active proteins or peptides.
- polymer biocompatible branched activated 2. The wherein derivatives according to claim 1, activated biocompatible polymers have one or more branched polymer structures. 10
 - branched biocompatible polymer activated 3. The wherein according to claim 1, derivatives activated branched biocompatible polymer derivatives are represented by following formula 1:

FORMULA 1

 $(P-OCH_2CO-NH-CHR-CO-)_n-L-Q_k-A$ Wherein,

 ${\tt P}$ and ${\tt Q}$ is the same or different biocompatible polymer,

R is H or alkyl,

L is aliphatic linking moiety covalently linked to each P and Q,

A is activating functional group,

n is an integer between 2 and 3, k is an integer between 0 and 1.

5

- 4. The activated branched biocompatible polymer derivatives according to claim 1, wherein the biocompatible polymer has 200~100,000 of the molecular weight.
- 5. The activated branched biocompatible polymer derivatives according to claim 1, wherein the long length of polymer linker has 2,000~20,000 of the molecular weight.
- activated branched biocompatible derivatives 15 according to claim 1, wherein biocompatible polymer is selected one from the group consisting of polyethylene glycol (PEG), polypropylene glycol (PPG), polyoxyethylene (POE), polytrimethylene .glycol, polylactic acid and its derivatives, 20 polyacrylic acid and their derivatives, polyamino acids, polyurethane, polyphosphazene, poly(L-lysine), polyalkylene oxide (PAO), water soluble polymers such polysaccharide, dextran, and non-immunogenic polymers such as polyvinyl alcohol and polyacryl amide.

7. The activated branched biocompatible polymer derivatives according to claim 1, wherein the functional group of activated biocompatible polymer derivatives is selected one from the group consisting of -NHS, -NHNH2, carbonyl imidazole, nitrophenyl, isocyanate, sulfonyl chloride, aldehyde, glyoxal, epoxide, carbonate, cyanuric halide, dithiocarbonate, tosylate and maleimide.

10

20

5

8. The activated branched biocompatible polymer derivatives according to claim 1, wherein the activated branched biocompatible polymer derivative is represented by formula 2:

15 FORMULA 2

mPEG—OCH₂CONHCH₂CONHCHCOONHS
(CH₂)₄
mPEG—OCH₂CONHCH₂CONH

9. The activated branched biocompatible polymer derivatives according to claim 1, wherein the activated branched biocompatible polymer derivative is represented by formula 3:

FORMULA 3

5

mPEG—OCH₂CONHCH₂CONHCHCONHPEGCOONHS
(CH₂)₄
mPEG—OCH₂CONHCH₂CONH

- 10. Protein-polymer or peptide-polymer conjugates, wherein the activated branched biocompatible polymer derivatives according to claim 1 react to the biologically active proteins or peptides.
- 11. The protein-polymer or peptide-polymer conjugates

 10 according to claim 10, wherein a molar ratio of the

 protein or peptide and the activated biocompatible

 polymer is 1:1 to 1:100 and preferably 1:1 to 1:20.
- 12. The protein-polymer or peptide-polymer conjugates

 15 according to claim 10, wherein the binding residue in

 protein or peptide is selected one from the group

 consisting of amino group, carboxyl group, carbonyl

 group, and oxidized sugar group.
- 20 13. The protein-polymer or peptide-polymer conjugates according to claim 10, wherein the protein or peptide is

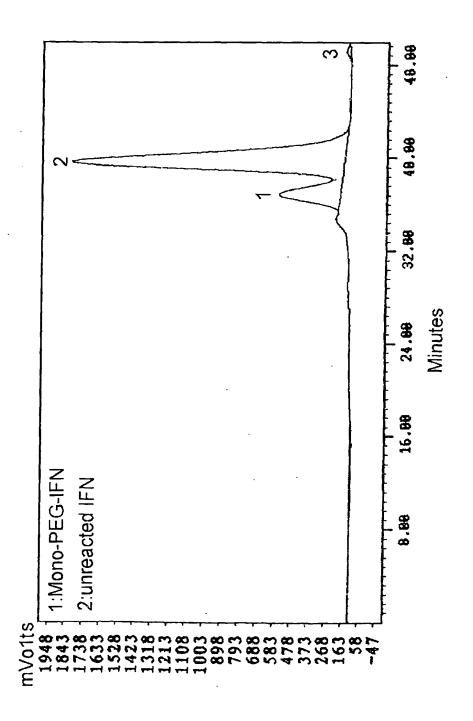
PCT/KR01/01209 WO 02/09766

selected one from the group consisting of alpha -, beta-, gamma- interferon, asparaginase, arginase, arginine deiminase, adenosine deaminase, superoxide dismutase, endotoxinase, catalase, chymotrypsin, lipase, uricase, adenosine diphosphatase, tyrosinase, glucose oxidase, glucosidase, galactosidase, glucouronidase, hemoglobin, blood factors (VII, VIII and IX), immunoglobulins, cytokines such as interleukins, G-CSF, GM-CSF, PDGF, lectins, ricins, TNF, TGFs, epidermal growth factor, human growth hormone, calcitonin, PTH, insulin, enkephalin, GHRP, LHRH and derivatives, calcitonin gene related peptide, thyroid stimulating hormone and thymic humoral factor.

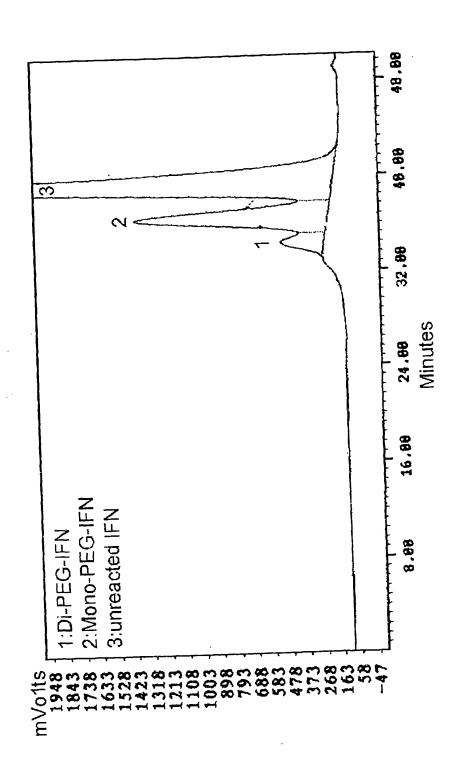
5

10

Figures
1/5
FIGURE 1



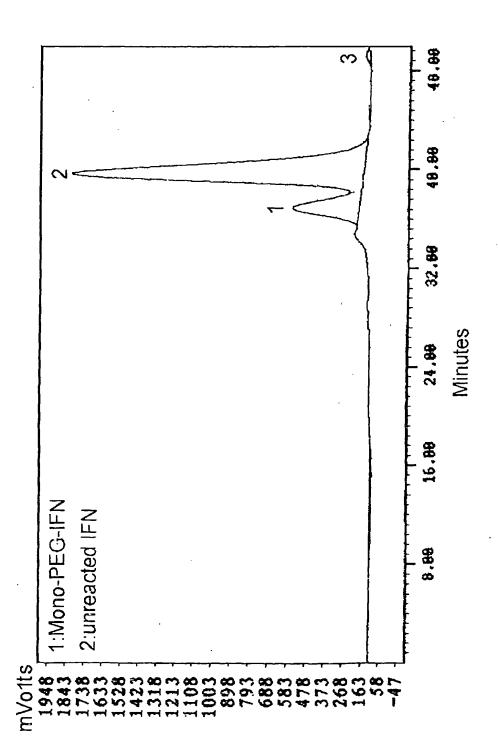
2/5 FIGURE 2



 \bigcirc

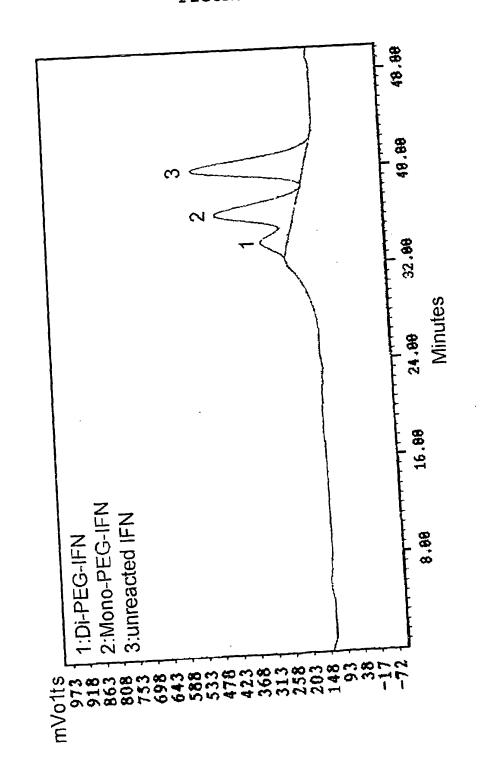
(

3/5 FIGURE 3

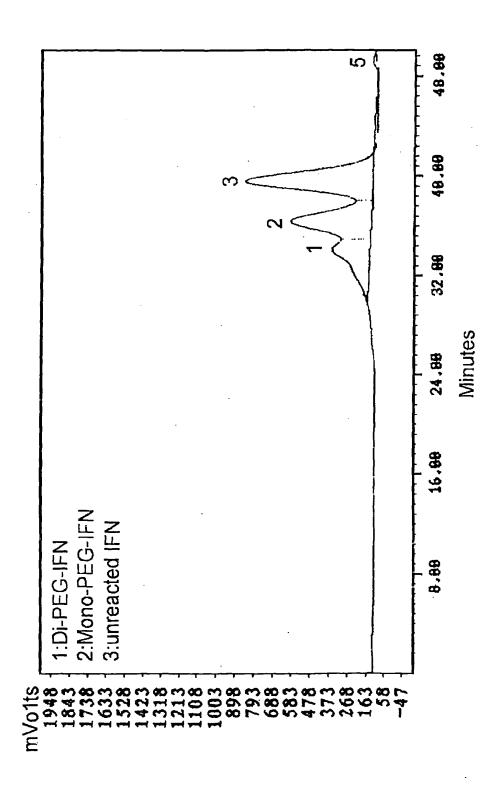


()

4/5 FIGURE 4



5/5 FIGURE 5



international application No. PCT/KR01/01209

CLASSIFICATION OF SUBJECT MATTER

IPC7 A61K 47/48

According to International Patent Classification (IPC) or to both national classification and IPC

FIELDS SEARCHED

Minimun documentation searched (classification system followed by classification symbols)

IPC7: A61K

Documentation searched other than minimun documentation to the extent that such documents are included in the fileds searched

Korean Patents and applications for inventions since 1975

Korean Utility models and applications for Utility models since 1975

Electronic data base consulted during the intertnational search (name of data base and, where practicable, search trerms used) NPS, CAPLUS

. DOCUM	MENTS CONSIDERED TO BE RELEVANT	Relevant to claim No.
Category*		1-7, 10-13
	Lee et al., "Prolonged circulating lives of single-chain Fv Proteins conjugated with polyely glycol: A Comparison of conjugation chemistries and compounds" In Bioconjugate Chem. (1999), 10(6), pages 973-981. Huang et al., "A polyethylene glycol copolymer for carrying and releasing multiple copies of cysteine-containing peptides" In Bioconjugate Chem. (1998), 9(5), pages 612-617.	1-7, 10-13
	US 5854194 A (COLGATE-PALMOLIVE CO.) 29. December 1998 (29. 12. 1998) see entire	1-13
4	document. EP 1008355 A1 (DEBIO RECHERCHE PHARCEUTIQUE S.A.) 14. June 2000 (14. 06. 2000)	1-13
A	see entire document. WO 9958694 (THE REGENTS OF THE UNIVERSITY OF CALIFORNIA) 18. November 1999	1-13
A	(18. 11. 1999) see entire document.	1-13
A	Wang & Ikai, "Protein stretching III: force-extension curves of tenered bowne entering III: force-extension curves of tenered bowne entering B to to the siliconsubstrate under native, intermediate and denaturing conditions" In Jpn. J. Appl. Phys., Part 1 (1999), 38(6B), pages 392-3917.	

1	
Further documents are listed in the continuation of Box C.	See patent family annex. "T" later document published after the international filing date or priority "the application but cited to understand
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevence "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international thing date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevence; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevence; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art document member of the same patent family Date of mailing of the international search report
Date of the actual completion of the international search 27 SEPTEMBER 2001 (27.09.2001)	28 SEPTEMBER 2001 (28.09.2001)
Name and mailing address of the ISA/KR Korean Intellectual Property Office Government Complex-Daejeon, Dunsan-dong, Seo-gu, Daejeon Metropolitan City 302-701, Republic of Korea Facsimile No. 82-42-472-7140	Authorized officer Yoon, Kyung Ae Telephone No. 82-42-481-5609

Facsimile No. 82-42-472-7140 Form PCT/ISA/210 (second sheet) (July 1998)

International application No.
PCT/KR01/01209

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5854194 A	29. 12. 1998	US 5955407 A US 6020301 A	21. 09. 1991 01. 02. 2000
EP 1008355 A1	14. 06. 2000	WO 2000033881A1	15. 06. 2000
WO.9958694 A1	18. 11. 1999	US 2610707 B1 AU 9939834 A1 EP 1078079 A1	03. 04. 2001 29. 11. 1999 28. 02. 2001

THIS PAGE BLAZIK (USPTO)

•

BEST AVAILABLE COPY

(12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG

(19) Weltorganisation für geistiges Eigentum Internationales Büro





(43) Internationales Veröffentlichungsdatum 28. März 2002 (28.03.2002)

PCT

(10) Internationale Veröffentlichungsnummer WO 02/024156 A3

(51) Internationale Patentklassifikation7: 38/43

A61K 7/48,

40489 Düsseldorf (DE). SÄTTLER, Andrea [DE/DE]; Himmelgeister Str. 187, 40225 Düsseldorf (DE).

(21) Internationales Aktenzeichen:

PCT/EP01/10617

(22) Internationales Anmeldedatum:

14. September 2001 (14.09.2001)

(25) Einreichungssprache:

Deutsch

(26) Veröffentlichungssprache:

Deutsch

(30) Angaben zur Priorität:

100 47 204.4 23. September 2000 (23.09.2000) DE

(71) Anmelder (für alle Bestimmungsstaaten mit Ausnahme von US): HENKEL KOMMANDITGESELLSCHAFT AUF AKTIEN [DE/DE]; Henkelstr. 67, 40589 Düsseldorf (DE).

(72) Erfinder; und

(75) Erfinder/Anmelder (nur für US): MÜLLNER, Stefan [DE/DE]; Hagebuttenweg 21, 40764 Langenfeld (DE). VOLLSTEDT, Angela [DE/DE]; Görlitzer Str. 14,

(81) Bestimmungsstaaten (national): AU, BG, BR, BY, CA, CN, CZ, DZ, HU, ID, IL, IN, JP, KR, MX, NO, NZ, PL,

RO, RU, SG, SI, SK, UA, US, UZ, VN, YU, ZA.

(84) Bestimmungsstaaten (regional): europäisches Patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

Veröffentlicht:

- mit internationalem Recherchenbericht
- vor Ablauf der für Änderungen der Ansprüche geltenden Frist; Veröffentlichung wird wiederholt, falls Änderungen eintreffen
- (88) Veröffentlichungsdatum des internationalen Recherchenberichts: 18. Juli 2002

Zur Erklärung der Zweibuchstaben-Codes und der anderen Abkürzungen wird auf die Erklärungen ("Guidance Notes on Codes and Abbreviations") am Anfang jeder regulären Ausgabe der PCT-Gazette verwiesen.

(54) Title: TOPICAL SKIN-TREATMENT AGENT CONTAINING ARGINASE

(54) Bezeichnung: TOPISCHE HAUTBEHANDLUNGSMITTEL MIT ARGINASE

(57) Abstract: The invention relates to the use of arginase as a component in cosmetic skincare preparations for improving the condition of the skin and for producing dermatological preparations for the topical treatment of the skin. Said cosmetic preparations preferably contain between 0.001 and 1000 units (U) of the arginase enzyme per 100 g of the preparation and protect the skin against dryness, roughness and inflammation.

(57) Zusammenfassung: Die Erfindung betrifft die Verwendung von Arginase als Komponente zur Verbesserung des Hautzustandes in kosmetischen Zubereitungen zur Pflege der Haut und zur Herstellung dermatologischer Zubereitungen zur topischen Behandlung der Haut. Kosmetische Zubereitungen enthalten bevorzugt 0,001 bis 1000 Einheiten (U) des Enzyms Arginase pro 100 g der Zubereitung und dienen dem Schutz der Haut gegen Trockenheit, Rauhigkeit und Entzündlichkeit.

÷;

) 02/024156 A3

Ir national Application No

		PUT/EF	01/1061/
CLASSIFICA	ATION OF SUBJECT MATTER A61K7/48 A61K38/43		
occording to Int	ternational Patent Classification (IPC) or to both national classific	ation and IPC	
tinimum docur	ARCHED mentation system followed by classification system followed by classificat $A61K$	•	
		in the deat in the	finds sparched
Documentation	searched other than minimum documentation to the extent that	such documents are included in the	INCIDS SCALARIOS
	a base consulted during the international search (name of data b	ase and, where practical, search te	rms used)
Electronic data WPI Data	a base consulted during the international season (makes a page a, PAJ, BIOSIS, EPO-Internal, CHEM	1 ABS Data, EMBASE	
C. DOCUMEN	NTS CONSIDERED TO BE RELEVANT	Seneral passages	Relevant to claim No.
Category °	Citation of document, with indication, where appropriate, of the	IRIONALII PASSAGOS	
	T ATO COL A (CRITETIA)		1-4
Α	US 5 419 901 A (GRIFFITH) 30 May 1995 (1995-05-30)		
	cited in the application		
	the whole document		
	WO 97 15280 A (L'OREAL)		1-4
Α [1 May 1997 (1997-05-01)		
	cited in the application		
	the whole document		1-4
A	DE 198 16 072 A (WELLA)		
\^	14 October 1999 (1999-10-14)		
	the whole document		1-4
A	EP 0 956 864 A (KYOWA HAKKO KO	GYO CO.)	1 7
1^	17 November 1999 (1999-11-17)		
1	the whole document		
		-/	
1			are lieted in annex
X Fur	rther documents are listed in the continuation of box C.	<u></u>	ers are listed in annex.
1	categories of cited documents:	"T" tater document published or priority date and not i	after the international filing date in conflict with the application but principle or theory, underlying the
1	and deliving the general state of the art which is not	cited to understand the	principle of theory area y
	ment defining the gorotomers sidered to be of particular relevance or document but published on or after the international	 "X" document of particular re cannot be considered n 	elevance; the claimed invention ovel or cannot be considered to o when the document is taken alone
filling	date	involve an inventive size	elevance; the claimed invention
whic	ch is cred to establish the pecified)	cannot be considered in	or involve an inventive step when the with one or more other such docu- on being obvious to a person skilled
•O. qocn	ment referring to an oral disclosure, use, exhibition or	ments, such compinate	on being obvious to a p
P docu	iment published prior to the international filling date but or than the priority date claimed	*8* document member of th	e same patent family nternational search report
Date of th	he actual completion of the international search	1	
	6 May 2002	13/05/200	
Name an	d meiting address of the ISA	Authorized officer	
	European Patent Office, P.B. 30101 a.s.m.	Fischer,	J.P.
	NL - 2280 HV 1358446 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	

Ir' vational Application No
PUT/EP 01/10617

		PC1/EP 01/1061/
C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A,P	WO 01 64858 A (MILLENNIUM PHARMACEUTICALS) 7 September 2001 (2001-09-07) the whole document	1-4
A	PATENT ABSTRACTS OF JAPAN vol. 1998, no. 05 (C & JP 10 007581 A (ADVANCED SUKIN RES KENKYUSHO KK) cited in the application abstract	1-4

1

Information on patent family members

In' ational Application No
PCT/EP 01/10617

					PC 1/E1	T
Patent document cited in search report		Publication date		Patent family member(s)		Publication date
US 5419901	A	30-05-1995	US US	519619 539561	5 A .2 A	23-03-1993 07-03-1995
WO 9715280	Α	01-05-1997	FR CA EP WO JP JP NO US	274033 222230 085959 971528 1051144 311009 9761	03 A1 01 A1 30 A1 04 T 50 B2 42 A	30-04-1997 01-05-1997 26-08-1998 01-05-1997 04-11-1998 20-11-2000 03-06-1998 28-03-2002
DE 19816072		14-10-1999	DE	198160	72 A1	14-10-1999
EP 956864	Α	17-11-1999	AU EP WO	51924 09568 98244	98 A 64 A1 73 A1	29-06-1998 17-11-1999 11-06-1998
WO 0164858	Α	07-09-2001	AU WO	41728 01648	301 A 358 A2	12-09-2001 07-09-2001
JP 10007581	A	13-01-1998	NONE			

INTERNATIONALER RECHERCHENBERICHT

ir vationales Aktenzeichen PCT/EP 01/10617

A. KLASSI IPK 7	FIZIERUNG DES ANMELDUNGSGEGENSTANDES A61K7/48 A61K38/43		
			•
	ternationalen Patentklassifikation (IPK) oder nach der nationalen Kla	ssifikation und der IPK	
	RCHIERTE GEBIETE ner Mindestprüfstoff (Klassifikationssystem und Klassifikationssymb	ole)	
IPK 7	A61K		
Recherchie	rte aber nicht zum Mindestprüfstoff gehörende Veröffentlichungen, so	oweit diese unter die recherchierten Gebiete	fallen
Während de	er internationalen Recherche konsultierte elektronische Datenbank (h	Name der Datenbank und evtl. verwendete S	Suchbegriffe)
WPI Da	ta, PAJ, BIOSIS, EPO-Internal, CHEM	ABS Data, EMBASE	
C. ALS WE	SENTLICH ANGESEHENE UNTERLAGEN		
Kategorie ^e	Bezeichnung der Veröffentlichung, soweit erforderlich unter Angab	oe der in Betracht kommenden Teile	Betr. Anspruch Nr.
А	US 5 419 901 A (GRIFFITH) 30. Mai 1995 (1995-05-30) in der Anmeldung erwähnt das ganze Dokument		1-4
A	WO 97 15280 A (L'OREAL) 1. Mai 1997 (1997-05-01) in der Anmeldung erwähnt das ganze Dokument		1-4
A	DE 198 16 072 A (WELLA) 14. Oktober 1999 (1999-10-14) das ganze Dokument		1-4
A	EP 0 956 864 A (KYOWA HAKKO KOGYO 17. November 1999 (1999-11-17) das ganze Dokument	O CO.)	1-4
		-/	
	ere Veröffentlichungen sind der Fortsetzung von Feld C zu ehmen	X Siehe Anhang Patentfamilie	
"A" Veröffer aber n "E" ätteres Anmel	e Kategorien von angegebenen Veröffentlichungen : ntlichung, die den allgemeinen Stand der Technik definiert, icht als besonders bedeutsam anzusehen ist Dokument, das jedoch erst am oder nach dem internationalen dedatum veröffentlicht worden ist	*T* Spätere Veröffentlichung, die nach dem oder dem Prioritätsdatum veröffentlicht Anmeldung nicht kollidiert, sondem nu Erfindung zugrundellegenden Prinzips Theorie angegeben ist 'X' Veröffentlichung von besonderer Bedet kenn allein aufgrund füser Veröffentlichen.	worden ist und mit der r zum Verständnis des der oder der ihr zugrundeliegenden itung; die beanspruchte Erfindung
schein andere	ntichung, die geeignet ist, einen Prioritätsanspruch zweifelhaft er- en zu tassen, oder durch die das Veröffentlichungsdatum einer in im Recherchenbericht genannten Veröffentlichung belegt werden ier die aus einem anderen besonderen Grund angegeben ist (wie führt)	kann nicht als auf erfinderischer Tätigk	chtel werden itung; die beanspruchte Erfindung eit beruhend betrachtet
"O" Veröffe: eine B "P" Veröffe:	ntlichung, die sich auf eine mündliche Offenbarung, enutzung, eine Ausstellung oder andere Maßnahmen bezieht milichung die worden internationation. Annekteratum, ober nach	werden, wenn die Veröffentlichung mit Veröffentlichungen dieser Kategorie in diese Verbindung für einen Fachmann "&" Veröffentlichung, die Mitglied derselben	Verbindung gebracht wird und naheliegend ist
	Abschlusses der internationalen Recherche	Absendedatum des internationalen Re	cherchenberichts
6	. Mai 2002	13/05/2002	
Name und P	rostanschrift der Internationalen Recherchenbehörde Europäisches Patentamt, P.B. 5818 Patentlaan 2	Bevollmächtigter Bediensteter	
	NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Fischer, J.P.	

1

INTERNATIONALER RECHERCHENBERICHT

Ir nationales Aktenzeichen
PUT/EP 01/10617

INTERNATIONALER RECHERCHENBERICHT

Ir ationales Aktenzeichen
PCT/EP 01/10617

	lecherchenbericht artes Patentdokum	ent	Datum der Veröffentlichung		Mitglied(er) der Patentfamilie	Datum der Veröffentlichung
US	5419901	Α	30-05-1995	US	5196195 A	23-03-1993
				US	5395612 A	07-03-1995
WO	9715280	Α	01-05-1997	FR	2740339 A1	30-04-1997
				CA	2222303 A1	01-05-1997
				EP	0859591 A1	26-08-1998
				WO	9715280 A1	01-05-1997
				JP	10511404 T	04-11-1998
				JР	3110050 B2	20-11-2000
				NO	976142 A	03-06-1998
				US	2002037854 A1	28-03-2002
DE	19816072	Α	14-10-1999	DE	19816072 A1	14-10-1999
EP	956864	 А	17-11-1999	AU	5192498 A	29-06-1998
				ΕP	0956864 A1	17-11-1999
				WO	9824473 A1	11-06-1998
WO	0164858	A	07-09-2001	AU	4172801 A	12-09-2001
				WO	0164858 A2	07-09-2001
JP	10007581	Α	13-01-1998	KEIN		

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)